**#7: Weblink to Compliance and Enforcement information**: <http://www.neb-one.gc.ca/sftnvrnmnt/cmplnc/index-eng.htmla>.

Safety Orders to companies: <http://www.neb-one.gc.ca/sftnvrnmnt/cmplnc/rprts/brdlttrrdr/index-eng.html> (Safety Orders have “SO” in the Order number)

List of reports, including:

Compliance Verification Activity (CVA) - 205 entries with columns = CVA #, date, Regulated Company, Province, Facility, Description - only linkable item is CVA# which expands into further details of report - HTML

CVA (Compliance Verification Activity) Reports 
Filter items 
CVA 
Number 
CV1617- 
026 
CV1617- 
060 
CV1617- 
069 
CV1617- 
071 
Showing 1 to 10 of 205 entries I entries 
Date 
(yyyy- 
2017-03- 
14 
2016-10- 
12 
2016-11- 
22 
2016-10- 
20 
Regulated 
Company 
British Columbia 
Hydro and Power 
Authority 
Enbridge 
Pipelines Inc. 
Enbridge 
Pipelines Inc. 
Enbridge 
Pipelines Inc. 
Provinces 
British Columbia 
Ontario 
Ontario 
Saskatchewan 
Facility •t 
Line g 
Line 11 ,LINE 10 WESTOVER REPLACEMENT,SARNIA 
TANK TERMINAL [Line 9] 
Line 2 
Description 
Field Inspection - 
Integrity Management, 
CV1617-026 
Emergency Response 
Exercise - Emergency 
Management, CV1617- 
060 
Field Inspection - Safety 
Management, CV1617- 
069 
Field Inspection - 
Environmental 
Protection, CV1617-071 

Incident Investigation: - 1 entry with columns = Incident#, Related Documents, Last updated date, Recipient, Region/Facility, Description - only linkable item is Incident# which which expands into further details of report - HTML

Safety & Environment v Participaüon & Lands Applications & Filings Energy Information 
Home Safety & Environment Compliance and Enforcement Incident Investigation 
Incident Investigation 
North/Offshore 
Region/ 
About Us 
Resource Centre 
Filter items 
Incident 
Number 
PI-T211-05- 
2015 
Showing 1 to 1 of 1 entries I Show entries 
Last Updated 
(yyyy-mm- 
Related 
Documents -t 
2015-10-30 
Recipient 
TransCanada Pipeline 
Limited 
Facility 
Calgary 
Description 
Allegations raised against TransCanada 
Pipelines Limited 

Audit: - 5 entries with columns = Audit#, Themes, Related Documents, Date Published, Recipient, Region/Facility - only linkable item is Audit# and Related Documents; both of which expand into further details of report - HTML,PDF

Audit Reports 
• 41 Inspection. Measurement and Monitorinq 
• 42 Investigation and Reportinq Incidents and Near Misses 
• Audit Reports 
4.1 Inspection, Measurement and Monitoring 
• Audit Protocol: Stream I — Sub-element 4_1 Inspection and Monitoring (patrol activities) IHTMLI 
Related Pages 
Manaqement System and Protection 
Proqram Audit Protocol 
Information Advisory NEB IA 2017-001 
[PDFI 
Filter items 
Audit 
Number •t 
OF-Surv-OpAud- 
K077-2016-2017 01 
OF-Surv-OpAud- 
P384-2016-2017 02 
[PDFI 
OF-Surv-OpAud- 
T260-2016-2017 01 
OF-Surv-OpAud- 
W102-2016-2017 01 
[PDFI 
Showing 1 to 5 of 5 entries I Show entries 
Themes 
Inspection, 
Measurement and 
Monitoring 
Inspection, 
Measurement and 
Monitoring 
4.1 Inspection, 
Measurement and 
Monitoring 
Inspection, 
Measurement and 
Monitoring 
Related 
Documents 
• Company Letter for 
Corrective Action 
Plan [PDFI 
• Board's Response 
Approval 
• Company Letter for 
Corrective Action 
Plan [PDFI 
• Board's Response 
Approval 
• Company Letter for 
Corrective Action 
Plan [PDFI 
• Board's Response 
Approval 
• Company Letter for 
Date Published 
(yyyy-mm-dd) 
2017-06-19 
2017-04-18 
2017-03-27 
2017-02-23 
News Release 
FAQs — Focused Audits 
Recipient 
Kinder Morgan Cochin ULC 
Plains Midstream Canada ULC_ 
Aurora Pipeline Company Ltd. 
Trans Mountain Pipelines ULC 
Westcoast Energy Inc., Carrying 
on Business as Spectra Energy 
Transmission 
Region/ 
Facility 
Canada 
Canada 
Canada 
Canada 

Administrative Monetary Penalty: - 21 entries with columns = Reference#, Related Documents, Last Updated, Recipient, Region/Facility, Description, Penalty Amount - only linkable item is Audit# and Related Documents; both of which expand into further details of report - HTML

Administrative Monetary Penalty (AMP) 
Filter items 
Reference 
Number 
AMP-002-2018 
AMP-OOI-2018 
2017-AMP-OOI 
2015-AMP-012 
2015-AMP-OII 
Showing 1 to 10 of 21 entries I Show entries 
Related 
Documents 
• Board Review 
Decision 
Last 
Updated 
(yyyy-mm- 
2018-07-10 
2018-06-25 
2017-12-07 
2015-12-02 
2015-07-29 
Recipient 
Trans-Northern 
Pipelines Inc. 
Canadian Natural 
Resources Ltd. 
Landstar Projects 
Conoco Phillips 
Canada Operations 
Ltd. 
Melcor 
Developments Ltd. 
Region/ 
Facility 
Montreal Feeder 
System 
Ojay Pipeline 
Surrey (BC) 
Elmworth Station U to B 
Pipeline Integrity 
Project, Beavertail 
Creek (AB) 
Rosenthal Blvd and 224 
Street, Edmonton (AB) 
Description 
Contravention of a Board 
Order 
Failure to comply with 
condition of a Board Order re: 
PCEMR submission 
6(b) of the PCR, Part I for an 
unauthorized activity without 
written permission from the 
pipeline company prior to 
excavation 
30(1 ) of the Act — Operation of 
a pipeline without a certificate 
and leave to open (Type B) 
112(1) of the Act 
Construction of a facility or 
Penalty 
Amount 
$28,000 
$40,000 
$28,000 
$28,000 
$28,000 

Warning Letters: - 6 entries with columns = NEB Action, Recipient, Description, Documents - only linkable item is Documents; expands into further details of report - HTML

Warning Letter 
NEB 
Action 
Warning 
Letter 
Warning 
Letter 
Warning 
Letter 
Warning 
Letter 
Warning 
Letter 
Warning 
Letter 
Recipient 
Canada Border Services 
Agency 
Forty Mile Gas Coop Ltd. 
Transport et Excavation 
Francois Robert Inc. 
Individual 
Potton Township 
City of Medicine Hat 
Description 
Non-compliance to ss. 62 and 6.6 of the OPR for 
2013 and 2014 
Failure to comply with conditions of Abandonment 
Order ZO-F073-003-2013 
Non-compliance with ss. 112(1) of the NEB Act and 
6(b) of the PCRv Part I 
Non-compliance with ss. 112(1)(2) of the NEB Act and 
6(b) of the PCRv Part I 
Non-compliance with ss. 112(1) of the NEB Act and 
6(b) of the PCRv Part I 
Non-compliances with ss. 112(1) of the NEB Act, with 
the PCR, Part I and DPR-A 
Documents 
(W" -mm-dd 
2015-09-02 
Warninq 
Letter 
2015-09-08 
Warninq 
Letter 
2016-02-25 
Warninq 
Letter 
2017-01-27 
Warninq 
Letter 
2017-01-27 
Warninq 
Letter 
2018-04-18 
Warninq 
Letter 

Board Letter and Order: - 43 entries with columns = Order Number, Related Documents, Last Updated, Recipient, Region/Facility, Description; linkable are Order Number and Related Documents, which expand into further details of report - HTML, PDF

Board Letter and Order 
Order 
Number 
SG-C293-01- 
2011 
SG-T201-002- 
2011 
SO-E102-002- 
2011 
SO-P384-004- 
2011 
Related 
Documents 
• Board Letter 
• Amendinq Order 
AO-002 
• Amendinq Order 
AO-OOI 
• Company Letter 
• Company Letter 
• Company Letter 
• Company Letter 
Last 
Updated 
(yyyy-mm- 
dd) 
2012-09-18 
2011-10-28 
2013-13-22 
2011-12-01 
Recipient 
Centra Transmission 
Holdings Ltd. 
TransCanada PipeLines 
Limited, on behalf of Trans 
Québec & Maritimes 
Pipeline 
Enbridge Pipeline (NW) 
Plains Midstream Canada 
Region/ 
Facility 
Birmingham (Ml), USA 
Line 2000 
Norman Wells to Zama 
Pipeline (Line 21), at 
Willow Lake River (NT) 
Milk River (AB) and the 
Wascana (SK) crude oil 
mainlines 
Description 
Non-compliance with Board Order 
Pipeline anomalies 
Release of crude oil 
Issues from 2009 audit 

Inspection Officer Order: - 29 entries with columns = IOO #, Related Documents, Last Updated, Recipient, Region/Facility, Description; linkable are Order Number and Related Documents, which expand into further details of report - HTML

Inspection Officer Order 
Filter items 
100 
Number •t 
AML-OOI- 
2018 
JFB-001-2018 
TC-001-2018 
RRW-002- 
2017 
RRW-OOI- 
2017 
Showing 1 to 10 of 29 entries I Show entries 
Related 
Documents 
• Notice to Resume 
Work and of 
Measures Satisfied 
• Notice to Resume 
Work or of 
Measures Satisfied 
• Notice to Resume 
Work or of 
Measures Satisfied 
• Resume Work 
Notice 
• Resume Work 
Notice 
Last 
Updated 
(yyyy-mm- 
2018-08-26 
2018-08-22 
2018-07-13 
2017-09-29 
2017-09-13 
Recipient 
Enbridge Pipelines Inc. 
Westcoast Energy Inc. 
(Spectra Energy 
Transmission) 
Centra Transmission 
Holdings 
Westcoast Energy Inc. 
(Spectra Energy 
Transmission) 
Westcoast Energy Inc. 
(Spectra Energy 
Transmission) 
Region/ 
Facility 
Line 3 Replacement 
Program 
High Pine Expansion 
Project (BC) 
Tribes Rock Replacement 
Project, Ontario 
High Pine Expansion 
Project (BC) 
High Pine Expansion 
Project (BC) 
Description 
Inability to demonstrate appropriate 
buffers are in place for wetlands 
Sediment and erosion control issues 
at watercourses 
Project design and construction not 
following OPR and CSA 
Pipe handling, hauling and stringing 
Pipe handling, hauling and stringing 